

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants Serial No.

: Phelps et al : 10/625,885

Filed

: July 23, 2003

Title

: NON-TOXIC CORROSION-PROTECTION PIGMENTS BASED ON

RARE EARTH ELEMENTS

Docket

: UVD 0279 IA/UD 267

Examiner

: Patricia L. Hailey

Art Unit

: 1714

Confirm, No.: 3049

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexadria, VA 22313-

1450 on August 7, 2006.

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97, AND 1.98

Applicants submit herewith patents, publications, and other information of which they are aware, which they believes may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Supplemental Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103, and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish otherwise. Further, pursuant to 37 CFR §1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

The Office has waived the requirement pursuant to 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national

Serial No. 10/625,885

Docket No. UVD 0279 IA/UD 267

patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. Therefore, no copies of each cited U.S. patent and each cited U.S. patent application publication are enclosed, but the cited U.S. patents and the cited U.S. patent application publications are listed on PTO/SB/08A.

This Supplemental Information Disclosure Statement is filed after the period set forth in §1.97(b), but before the mailing date of a final action under §1.113 or a notice of allowance, whichever occurs first.

The Supplemental Information Disclosure Statement is accompanied by a check in the amount of \$180.00 in payment of the required fee set forth in 37 CFR §1.17(p).

Respectfully submitted, DINSMORE & SHOHL LLP

by <u>Garuu</u>

Registration No. 33,758

One Dayton Centre One South Main Street, Suite 1300 Dayton, Ohio 45402-2023

Telephone: (937) 449-6400 Facsimile: (937) 449-6405

PLP/AMM Encls.

PTO/SB/08A (07-05)

Complete if Known

10/625,885

Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Number

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute to 149/PTO

Sheet

Filing Date July 23, 2003 First Named Inventor Andrew W. Phelps Art Unit 1755

(Use as many sheets as necessary)

Examiner Name Patricia L. Hailey Attorney Docket Number UVD 0279 IA/UD 267

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-4,109,176	08-22-1978	Ernstausen et al	1
	 	US-6,472,079 B2	10-29-2002	Hayashi et al	
		US-			····
		US-			
_	<u></u>	US-			
		US-			
		US-			
	ļ	US-			
		US-			

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	П		
		Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	T⁰		
		WO 95/31093	11-23-1995	Johnes				
	ļ							
	ļ					ļ		
	ļ							
	1		į			1		

Examiner	Date	
Signature	Considered	
org. rotal o	00/2/00/00	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicants Serial No.

: Phelps et al : 10/625,885

Filed

: July 23, 2003

Title

-PROTECTION PIGMENTS BASED ON : NON-TOXIC CORROSION

RARE EARTH ELEMENTS

Docket

: UVD 0279 IA/UD 267

Examiner

: Patricia L. Hailey

Art Unit

: 1755

Confirm. No.: 3049

MAIL STOP AMENDMENT Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313

1450 on August 17, 2006.

Patricia L. Prior

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT <u>UNDER 37 CFR §§ 1.56, 1.97, AND 1.98</u>

Applicants submit herewith patents, publications, and other information of which they are aware, which they believes may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Supplemental Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103, and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish otherwise. Further, pursuant to 37 CFR §1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

The Office has waived the requirement pursuant to 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national

Serial No. 10/625,885

Docket No. UVD 0279 IA/UD 267

patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. Therefore, no copies of each cited U.S. patent and each cited U.S. patent application publication are enclosed, but the cited U.S. patents and the cited U.S. patent application publications are listed on PTO/SB/08A.

This Supplemental Information Disclosure Statement is filed after the period set forth in §1.97(b), but before the mailing date of a final action under §1.113 or a notice of allowance, whichever occurs first.

The Supplemental Information Disclosure Statement is accompanied by a check in the amount of \$180.00 in payment of the required fee set forth in 37 CFR §1.17(p).

Respectfully submitted, DINSMORE & SHOHL LLP

Patricia L. Prior

Registration No. 33,758

One Dayton Centre
One South Main Street, Suite 1300
Dayton, Ohio 45402-2023
Telephone: (937) 449-6400

Facsimile: (937) 449-6405

PLP/AMM Encls.

PTO/SB/08A (07-06)

the Company Approved for use through 09/30/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE on Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet

AUG 2 1 2006

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete it Known				
Application Number	10/625,885			
Filing Date	07/23/2003			
First Named Inventor	Andrew W. Phelps			
Art Unit	1755			
Examiner Name	Patricia L. Hailey			
Attorney Docket Number	I IV/D 0279 IA/UD 267			

F	C. C.			DOCUMENTS	I Barra Columna Line 199
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan
	<u> </u>	Number-Kind Code ^{2 (f known)}			Figures Appear
		^{US-} 2003/0185990 A1	10-02-2003	Bittner et al.	
		US-			
		US-			
		U\$-			
		US-			
		US-		:	
		US-			
		US-			
		US-			
<u>-</u>		US-			
	-	US-	- "		
		US-	†		
		US-			
		US-			
		US-	<u> </u>		
		US-			
		US-	<u> </u>		
		US-			
		US-	1		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Palent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	П	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	1	
					·	L	
						L	
						┝	
			· · · · · ·		-	┡	

Examiner		Date	
Signature		Considered	
Cignicial	i	0011240103	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.